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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Lutz GOTTSCHALD

Serial No.: 09/601,693

Int'l. Filing Date: January 27, 1999

For: METHOD AND DEVICE FOR MACHINING LENSES

Date: October 3, 2000

Examiner: ---

Group Art Unit: ---

Hon. Commissioner of Patents
and Trademarks
Washington, DC 20231
Attention: Refund Section
Acct. Div., Office of Finance

REQUEST FOR REFUND AND
SUBMISSION OF SMALL ENTITY DECLARATION

Sir:

Pursuant to the Notice in the Official Gazette dated February 15, 1983, it is requested that a refund be granted in the amount of \$ 420.00, the amount overpaid on for filing the above application.

The verified statement claiming small entity status of the assignee is enclosed.

It is requested that the refund be deposited to our Deposit Account No. 15-0700. A duplicate copy of this Request is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL008555624US) in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Attention: Refund Section, Acct. Div., Office of Finance, Washington, D.C. 20231, on October 3, 2000:

Dorothy Jenkins
Name of person mailing correspondence

Dorothy Jenkins
Signature
October 3, 2000
Date of Signature

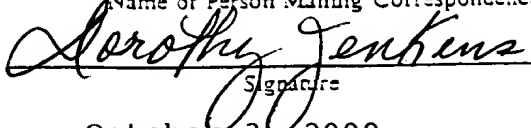
RCF:ahc:des
Enclosure

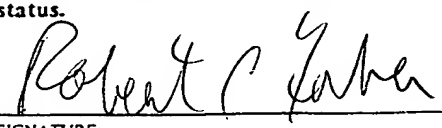
Respectfully submitted,

Robert C. Faber

Robert C. Faber
Registration No.: 24,322
OSTROLENK, FABER, GERB & SOFFEN
1180 Avenue of the Americas
New York, New York 10036-8403
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US PATENT & TRADEMARK
OFFICE
REFUND DIVISION
200 OCT -4 PM 4:30

FORM PTO-1190 (REV. 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER P/1568-38	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.53) 09/601,693	
INTERNATIONAL APPLICATION NO. PCR/EP99/00510		INTERNATIONAL FILING DATE 27 January 1999		PRIORITY DATE CLAIMED 5 February 1998	
TITLE OF INVENTION METHOD AND DEVICE FOR MACHINING SPECTACLE LENSES					
APPLICANT(S) FOR DO/EO/US Lutz GOTTSCHALD					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 1 to 16 below concern document(s) or information included:					
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. and PTO-1449 with references and Abstracts					
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. <input type="checkbox"/> FIRST preliminary amendment. 14. <input type="checkbox"/> SECOND or SUBSEQUENT preliminary amendment.					
15. <input type="checkbox"/> A substitute specification.					
16. <input checked="" type="checkbox"/> Other items or information: Small Entity Declaration Request for Refund					
<div style="text-align: right;"> EXPRESS MAIL CERTIFICATE I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addresses (mail label EL008555624US in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on October 3, 2000) <u>Dorothy Jenkins</u> Name of Person Mailing Correspondence  Signature October 3, 2000 Date of Signature </div>					

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) 09/601,693		INTERNATIONAL APPLICATION NO. PCT/EP99/00510		ATTORNEY'S DOCKET NUMBER P/1568-38	
17. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		X \$13.00	\$	
Independent claims	- 3 =		X \$78.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded	
				charged	
a. <input checked="" type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. Check No. _____ b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0700</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN, LLP 1180 Avenue of the Americas New York, NY 10036-8403 Tel: (212) 382 0700					
				 SIGNATURE:	
				<u>Robert C. Faber</u> NAME	
				<u>24,322</u> REGISTRATION NUMBER	